



COMMISSIONER OF SECURITIES STATE OF GEORGIA

ORDER IMPLEMENTING FILING REQUIREMENTS IN RESPONSE TO COVID-19

The current outbreak of coronavirus disease 2019 (COVID-19) was first reported on December 31, 2019 in Wuhan, China. The disease has led to disruptions to everyday life including the imposition of quarantines around the world, which may limit individuals' access to facilities, personnel, and third party service providers. The Commissioner of Securities ("Commissioner") recognizes that, in these circumstances, COVID-19 poses potential challenges to registrants in meeting certain requirements under the Georgia Uniform Securities Act of 2008 ("Act") and rules promulgated thereunder.

Pursuant to O.C.G.A. § 10-5-70 *et seq.*, the Commissioner may, by rule, regulation, or order, exempt, either conditionally or unconditionally, any person, security or transaction, or any class or classes of persons, securities, or transactions, from any provision or provisions of the Act or any rule or regulation thereunder, to the extent that such exemption is necessary or appropriate in the public interest, and is consistent with the protection of investors. To ease the burden on registrants, the Commissioner finds that the following Order is in the public interest.

Accordingly, it is ORDERED, pursuant to O.C.G.A. § 10-5-70 *et seq.* of the Act:

1. As of the execution of this Order, all filers and registrants required to make a filing pursuant to O.C.G.A. §§ 10-5-21, 10-5-22, and 10-5-23 are required to make electronic filings using NASAA's Electronic Filing Depository ("EFD") or SeamlessDocs on the Commissioner's website available at <https://sos.ga.gov/index.php/securities>.

2. Any filer or registrant that cannot meet the filing requirements under the above provisions should contact the Commissioner at registrations@sos.ga.gov to coordinate potential relief specific to the filer or registrant.


Conditions:

- (a) The filer or registrant is unable to meet a filing deadline or delivery requirement due to circumstances related to current or potential effects of COVID-19;
- (b) The filer or registrant relying on this Order with respect to the requirement to filings with the Commissioner promptly provides the Commissioner via email at registrations@sos.ga.gov the following information:
 - (1) That it is relying on this Order;
 - (2) A brief description of the reasons why it could not file on a timely basis; and
 - (3) The estimated date by which it expects to file.

This Order shall be effective as of this 24th day of March, 2020, or until modified, vacated, or superseded.

**BRAD RAFFENSPERGER
COMMISSIONER OF SECURITIES
STATE OF GEORGIA**

BY:



C. Ryan Germany
Assistant Commissioner of Securities