



COMMISSIONER OF SECURITIES STATE OF GEORGIA

ORDER IN RESPONSE TO COVID-19

The current outbreak of coronavirus disease 2019 (COVID-19) was first reported on December 31, 2019 in Wuhan, China. The disease has led to disruptions to everyday life including the imposition of quarantines around the world, which may limit individuals' access to facilities, personnel, and third party service providers. The Commissioner of Securities ("Commissioner") recognizes that, in these circumstances, COVID-19 poses potential challenges to registrants in meeting certain requirements under the Georgia Uniform Securities Act of 2008 ("Act") and rules promulgated thereunder.

Pursuant to O.C.G.A. § 10-5-70 *et seq.*, the Commissioner may, by rule, regulation, or order, exempt, either conditionally or unconditionally, any person, security or transaction, or any class or classes of persons, securities, or transactions, from any provision or provisions of the Act or any rule or regulation thereunder, to the extent that such exemption is necessary or appropriate in the public interest, and is consistent with the protection of investors. To ease the burden on registrants, the Commissioner finds that the following Order is in the public interest.

Accordingly, it is **ORDERED**, pursuant to O.C.G.A. § 10-5-70 *et seq.* of the Act:

1. The following Relief Orders issued by the Commissioner are extended through October 31, 2020 unless modified, vacated, or superseded:
 - a. Order Granting Relief From Fingerprint Filing Requirements dated March 16, 2020;
 - b. Order Implementing Investment Adviser Annual Update Amendment To Form ADV Deadline In Response to COVID-10 dated March 17, 2020; and

- c. Order Implementing Filing Requirements In Response to COVID-19 dated
March 24, 2020.

This Order shall be effective as of this 28th day of August, 2020.

**BRAD RAFFENSPERGER
COMMISSIONER OF SECURITIES
STATE OF GEORGIA**

BY:



**C. Ryan Germany
Assistant Commissioner of Securities**